

**FOREIGN PRODUCERS'/EXPORTERS' QUESTIONNAIRE**  
**CERTAIN FROZEN OR CANNED WARMWATER SHRIMP AND PRAWNS FROM**  
**BRAZIL, CHINA, ECUADOR, INDIA, THAILAND, AND VIETNAM**

*Return completed questionnaire to:*

**UNITED STATES INTERNATIONAL TRADE COMMISSION**  
Office of Investigations, Room 615  
500 E Street, SW, Washington, DC 20436

**So as to be received by the Commission by no later than October 4, 2004**

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its antidumping investigations concerning certain frozen or canned warmwater shrimp and prawns from Brazil, China, Ecuador, India, Thailand, and Vietnam (invs. Nos. 731-TA-1063-1068 (Final)). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII.

<b>Name of firm</b> _____
<b>Address</b> _____ _____
<b>World Wide Web address</b> _____
Has your firm produced or exported certain frozen or canned warmwater shrimp and prawns (as defined in the instruction booklet) since January 1, 2001?
<input type="checkbox"/> <b>NO</b> (Sign the certification below and promptly return only this page of the questionnaire to the Commission)
<input type="checkbox"/> <b>YES</b> (Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

**CERTIFICATION**

*I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.*

*By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout these investigations in any other import-injury investigations conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)*

*I acknowledge that information submitted in this questionnaire response and throughout these investigations may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of these investigations or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.*

\_\_\_\_\_  
*Name and Title of Authorized Official*

\_\_\_\_\_  
*Date*

\_\_\_\_\_  
*Signature of Authorized Official*

( ) \_\_\_\_\_  
*Phone*

( ) \_\_\_\_\_  
*Fax*

\_\_\_\_\_  
*E-mail address*

**PART I.--GENERAL QUESTIONS**

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

I-1. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

\_\_\_\_\_ hours                      \_\_\_\_\_ dollars

I-2. Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

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I-3. Please provide the names and addresses of the **FIVE** largest U.S. importers of your firm's certain frozen or canned warmwater shrimp and prawns in 2003.

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**PART I.--GENERAL QUESTIONS--Continued**

I-4. Does your firm or any related firm produce, have the capability to produce, or have any plans to produce certain frozen or canned warmwater shrimp and prawns in the United States or other countries?

- No       Yes--Please name the firm(s) and country(ies) below and, if U.S. producer(s), ensure that they complete the Commission's producer questionnaire (**contact Jim McClure (202-205-3191; [james.mcclure@usitc.gov](mailto:james.mcclure@usitc.gov))**) for copies of that questionnaire).

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I-5. Does your firm or any related firm import or have any plans to import certain frozen or canned warmwater shrimp and prawns into the United States?

- No       Yes--Please name the firm(s) below and ensure that they complete the Commission's importer questionnaire (**contact Jim McClure (202-205-3191; [james.mcclure@usitc.gov](mailto:james.mcclure@usitc.gov))**) for copies of that questionnaire).

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**PART II.--TRADE AND RELATED INFORMATION**

II-1. Does your firm have any plans to add, expand, curtail, or shut down production capacity and/or production of certain frozen or canned warmwater shrimp and prawns in Brazil, China, Ecuador, India, Thailand, or Vietnam?

- No       Yes--Please describe those plans, including planned dates and capacity/production quantities involved, and the reason(s) for such change(s). If the plans are to add or expand capacity or production, list (in descending order of importance) the markets (countries) to which such additional capacity or production would be directed.

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**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-2. What percentage of your firm's total sales in its most recent fiscal year was represented by sales of certain frozen or canned warmwater shrimp and prawns?

\_\_\_\_\_ Percent

II-3. Does your firm produce products other than certain frozen or canned warmwater shrimp and prawns on the same equipment and machinery used in the production of certain frozen or canned warmwater shrimp and prawns?

No  Yes--List the following information.

Basis for allocation of capacity data (e.g., sales): \_\_\_\_\_

Products produced on same equipment and share of total production in 2003 (in percent):

<u>Product</u>	<u>Percent</u>	<u>Product</u>	<u>Percent</u>
Certain frozen or canned warmwater shrimp and prawns	_____	_____	_____
_____	_____	_____	_____

II-4. For calendar year 2003, provide the share (in percent) of your shipments of certain frozen or canned warmwater shrimp and prawns that were:

farmed \_\_\_\_\_, wild-caught \_\_\_\_\_ = 100 percent

II-5. Has your firm maintained any inventories of certain frozen or canned warmwater shrimp and prawns in the United States (not including inventories held by firms identified in questions I-3, I-4, or I-5 above<sup>1</sup>) since 2001?

No  Yes--Report the quantity (in 1,000 pounds) of such **end-of-period** inventories below.

<u>2001</u>	<u>2002</u>	<u>2003</u>	<u>June 2003</u>	<u>June 2004</u>
_____	_____	_____	_____	_____

II-6. Does your firm sell certain frozen or canned warmwater shrimp and prawns over the internet?

No  Yes--Please describe, noting the estimated percentage of your firm's total sales of certain frozen or canned warmwater shrimp and prawns in 2003 accounted for by internet sales.

\_\_\_\_\_  
\_\_\_\_\_

<sup>1</sup> Such firms will report inventories in the Commission's importer or producer questionnaire.

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-7. Are the certain frozen or canned warmwater shrimp and prawns exported by your firm subject to antidumping findings or remedies in any WTO-member countries?

No

Yes--List the products(s), countries affected, and the date of such findings/remedies.

<i>Product</i>	<i>Country</i>	<i>Date</i>
_____	_____	_____
_____	_____	_____
_____	_____	_____

II-8. Please explain the basis for your projections in question II-9.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**PART II.--TRADE AND RELATED INFORMATION--Continued**

II-9. Please report production capacity, production, shipments, and inventories of **certain frozen or canned warmwater shrimp and prawns** produced by your firm in Brazil, China, Ecuador, India, Thailand, or Vietnam during the specified periods. **Note: Regardless of whether your firm produces “frozen” only, “canned” only, or both “frozen” and “canned” warmwater shrimp, these data should be reported here.**

Country: \_\_\_\_\_

(Quantity in 1,000 pounds)							
Item	Actual experience					Projections	
	2001	2002	2003	January-June		2004	2005
				2003	2004		
<b>AVERAGE PRODUCTION CAPACITY<sup>1</sup></b>							
<b>BEGINNING-OF-PERIOD INVENTORIES<sup>2</sup></b>							
<b>PRODUCTION<sup>3</sup></b>							
<b>SHIPMENTS:</b>							
<b>Internal consumption/transfers</b>							
<b>Home market sales</b>							
<b>Exports to--</b>							
<b>United States<sup>4</sup></b>							
<b>All other export markets<sup>5</sup></b>							
<b>Total exports</b>							
<b>Total shipments</b>							
<b>END-OF-PERIOD INVENTORIES</b>							

<sup>1</sup> The production capacity (see definitions in instruction booklet) reported is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary).

<sup>2</sup> Reconciliation of data.--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes  No--Please explain: \_\_\_\_\_

<sup>3</sup> Please estimate the percentage of total production of **certain frozen or canned warmwater shrimp and prawns** in Brazil, China, Ecuador, India, Thailand, or Vietnam accounted for by your firm's production in 2003.  
 \_\_\_\_\_ Percent

<sup>4</sup> Please estimate the percentage of total exports to the United States of **certain frozen or canned warmwater shrimp and prawns** from Brazil, China, Ecuador, India, Thailand, or Vietnam accounted for by your firm's exports in 2003.  
 \_\_\_\_\_ Percent

<sup>5</sup> Identify principal *other* export markets. \_\_\_\_\_

**PART III.-CANNED WARMWATER SHRIMP AND PRAWNS--TRADE AND RELATED INFORMATION**

Further information on this part of the questionnaire can be obtained from **Jim McClure (202-205-3191; james.mcclure@usitc.gov)**. **Supply all data requested on a calendar-year basis.**

The following definition is applicable for Part III:

***Canned warmwater shrimp and prawns.***-The product herein is canned warmwater shrimp and prawns, wild-caught (ocean harvested) or farm-raised (produced by aquaculture), head-on or head-off, shell-on or peeled, tail-on or tail-off, de-veined or not de-veined, cooked or raw, or otherwise processed in canned form, the foregoing as covered by statistical reporting number 1605.20.1040 of the Harmonized Tariff Schedule of the United States (HTS).

Since January 1, 2001, has your firm produced **canned warmwater shrimp and prawns**?

No       Yes--If YES, complete the remainder of Part III. If NO, do NOT complete the remainder of Part III. **Note: If your firm produces both “frozen” and “canned” product, that combined data should have been reported in response to question II-9, while your response to question III-2 on the following page should contain data concerning “canned” product only.**

III-1. Who should be contacted regarding the requested information?

Company contact: \_\_\_\_\_  
Name and title

\_\_\_\_\_      \_\_\_\_\_  
Phone No.      E-mail address

**PART III.-CANNED WARMWATER SHRIMP AND PRAWNS--TRADE AND RELATED INFORMATION**

III-2. Please report production capacity, production, shipments, and inventories of **canned warmwater shrimp and prawns** produced by your firm in Brazil, China, Ecuador, India, Thailand, or Vietnam during the specified periods.

**Country:** \_\_\_\_\_

(Quantity in 1,000 pounds)							
Item	Actual experience					Projections	
	2001	2002	2003	January-June		2004	2005
				2003	2004		
<b>AVERAGE PRODUCTION CAPACITY<sup>1</sup></b>							
<b>BEGINNING-OF-PERIOD INVENTORIES<sup>2</sup></b>							
<b>PRODUCTION<sup>3</sup></b>							
<b>SHIPMENTS:</b>							
<b>Internal consumption/transfers</b>							
<b>Home market sales</b>							
<b>Exports to--</b>							
<b>United States<sup>4</sup></b>							
<b>All other export markets<sup>5</sup></b>							
<b>Total exports</b>							
<b>Total shipments</b>							
<b>END-OF-PERIOD INVENTORIES</b>							

<sup>1</sup> The production capacity (see definitions in instruction booklet) reported is based on operating \_\_\_\_\_ hours per week, \_\_\_\_\_ weeks per year. Please describe the methodology used to calculate production capacity, and explain any changes in reported capacity (use additional pages as necessary).

<sup>2</sup> **Reconciliation of data.**--Please note that the quantities reported above should reconcile as follows: beginning-of-period inventories, plus production, less total shipments, equals end-of-period inventories. Do the data reported reconcile?

Yes  No--Please explain: \_\_\_\_\_

<sup>3</sup> Please estimate the percentage of total production of **canned warmwater shrimp and prawns** in Brazil, China, Ecuador, India, Thailand, or Vietnam accounted for by your firm's production in 2003.  
 \_\_\_\_\_ Percent

<sup>4</sup> Please estimate the percentage of total exports to the United States of **canned warmwater shrimp and prawns** from Brazil, China, Ecuador, India, Thailand, or Vietnam accounted for by your firm's exports in 2003.  
 \_\_\_\_\_ Percent

<sup>5</sup> Identify principal *other* export markets. \_\_\_\_\_